

YUJIN(ALEX) LIU

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PRACTICE AREA

MR. LIU YUJIN SPECIALIZES IN CONDUCTING COMPLIANCE INTERNAL INVESTIGATIONS AND ADDRESSING CONCERNS ARISING FROM DOMESTIC, FOREIGN AND INTERNATIONAL GOVERNMENT INQUIRIES. HE ALSO SUPPORTS CROSS-BORDER DATA COMPLIANCE, SANCTIONS, AND EXPORT CONTROL MATTERS; ADVISES ON THE DESIGN AND IMPLEMENTATION OF COMPREHENSIVE COMPLIANCE POLICIES; AND CONDUCTS INVESTMENT-RELATED COMPLIANCE RISK ASSESSMENTS AND MONITORING.

Education

- Washington University in St. Louis, Juris Doctor (J.D.)
- Shanghai Normal University, Bachelor of Laws (LL.B.), Summa cum laude

Professional Qualification

- PRC Legal Professional Qualification Certificate
- Admitted to practice in New York

Work Language

- English
- Mandarin
- Shanghainese

Professional Background

- Mr. Liu joined Fangda Partners in 2023. Prior to joining the firm, he practiced at Ropes & Gray's New York and Shanghai offices for over a decade.

Mr. Liu has led and participated in hundreds of internal and external investigations across multiple jurisdictions, including Greater China, the U.S., the U.K., Japan, South Korea, India, the Philippines, Russia, Armenia, and Poland. Investigations covered a broad range of issues, including bribery, corruption, expense fraud, financial reporting schemes, trade secret theft, conflicts of interest, bid-rigging, off-label marketing, export control and sanctions violations, and foreign influence in research and investment activities.

Mr. Liu has over a decade of experience advising multinational companies on inquiries and enforcement actions by U.S. and Chinese regulators, including the U.S. Department of Justice (DOJ) and Securities and Exchange Commission (SEC).

Representing multiple leading multinational and domestic companies in the government's anti-corruption campaigns, Mr. Liu assisted in managing crises and responding to inquiries from various key regulatory authorities, including the Disciplinary Inspection Commissions, Procuratorate, Public Security Bureau, Audit Office, State Administration for Market Regulation (SAMR), Taxation Authorities, and Government Procurement Offices.

Mr. Liu has conducted complex risk assessments, developed customized compliance policies, and implemented training programs to support the business investments and daily operations of companies across diverse sectors and at various stages of development in Asia..

REPRESENTATIVE MATTERS AND CASES

- Represented numerous multinational and leading domestic companies in responding to inquiries and crisis management from Chinese regulatory authorities (including but not limited to the Disciplinary Inspection Commissions, Procuratorate, Public Security Bureau, Audit Office, State Administration for Market Regulation (SAMR), Taxation Authorities, and government procurement agencies), as well as external auditors. Conducted related internal investigations and process improvement initiatives.

- Assisted independent compliance monitors appointed by the U.S. Department of Justice (DOJ) and Securities and Exchange Commission (SEC) in overseeing global compliance efforts (including operations in China and the Asia-Pacific region) for a multinational industrial company to implement the terms of a Deferred Prosecution Agreement (DPA) related to the U.S. Foreign Corrupt Practices Act (FCPA).
- Supported a multinational pharmaceutical company in complying with the oversight requirements of independent compliance monitors (appointed by the DOJ and SEC) regarding its global operations (including China and the Asia-Pacific region) under a FCPA-related DPA.
- Represented multiple Fortune 100 companies in conducting internal investigations across China, Southeast Asia, and Europe, addressing issues such as bribery of government officials and state-owned entity personnel, corruption, fraud, conflicts of interest, bidding irregularities, trade compliance violations, and other forms of employee misconduct.
- Acted on behalf of special committees of Asian-headquartered multinational companies' boards of directors in cross-border litigation between the U.S. and China, conducting independent investigations into alleged trade secret misappropriation and other corporate compliance governance issues.
- Provided global compliance risk assessment services (with a focus on Asian markets) to industry-leading companies, offering legal counsel on compliance matters arising in day-to-day operations.
- Provided compliance due diligence and risk monitoring services for leading venture capital and private equity funds, cybersecurity and cloud service providers, as well as top-tier industrial manufacturing enterprises, covering multi-sector investment portfolios and M&A transactions in Asia.